

Drawing Under transferred LC User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTfPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTfPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTfPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Drawing Under Transfer LC - Islamic

This process is triggered when Documents Under the Transferred LC are received at first Beneficiary Bank to the Second Beneficiary Bank.

The various stages involved for Drawing Under Transferred LC are:

- Receive and verify documents and Input Drawing details
- Upload of related mandatory and non-mandatory documents.
- Verify/capture details and check documents for Discrepancies-Scrutiny Stage
- Input/Modify details of Drawing - Data Enrichment stage
- Check for limit availability if applicable.
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Drawing Under Transferred LC process flow is similar to that of conventional Drawing Under Transferred LC process flow.

This section contains the following topics:

Common Initiation Stage	Registration
Scrutiny	Data Enrichment
Multi Level Approval	Multi Level Approval

Common Initiation Stage

The user can initiate the new Islamic Drawing under transfer LC request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click Trade Finance > Initiate Task.

The screenshot shows the Oracle 'Initiate Task' registration form. On the left is a dark sidebar menu with the Oracle logo at the top. The menu items include: Core Maintenance, Dashboard, Machine Learning, Maintenance, Security Management, Tasks, Trade Finance (expanded), Administration, Bank Guarantee Advice, Bank Guarantee Issuance, Enquiry, Event Logs, Export - Documentary, Import - Documentary, Initiate Task (highlighted with a red box), Shipping Guarantee, and Swift Processing. The main content area is titled 'Registration'. It contains two dropdown menus: 'Process Name' with the value 'Islamic Drawings Under Trans...' and 'Branch' with the value '300-International Payments-Fast...'. At the bottom right of the form are two buttons: 'Proceed' and 'Clear'. The top right of the page shows the user's session information: 'JEEVA02', 'subham@gmail.com', and a timestamp '(300) Jan 1, 2016'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

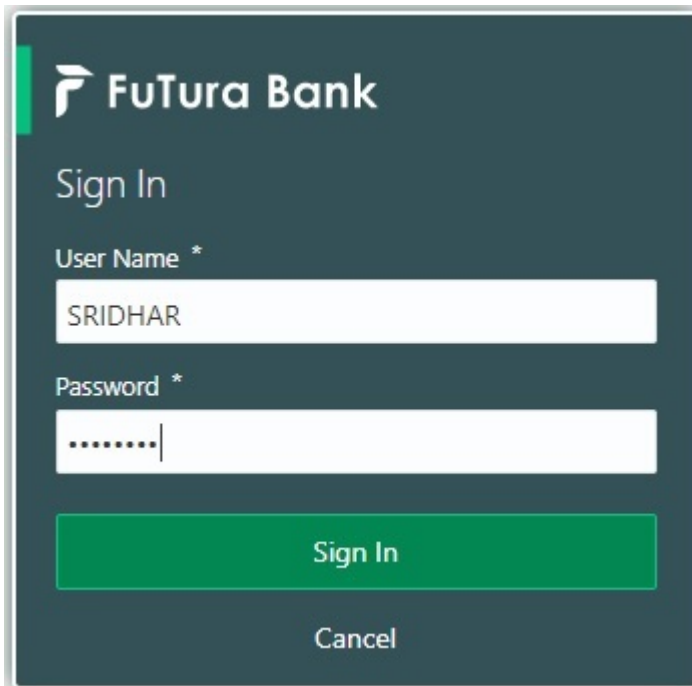
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

The process starts from Registration stage, the user can register request for an Islamic Drawings under Transferred LC at the front desk.

During Registration stage, user can capture the basic details and upload the related documents received from presenting bank. It also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

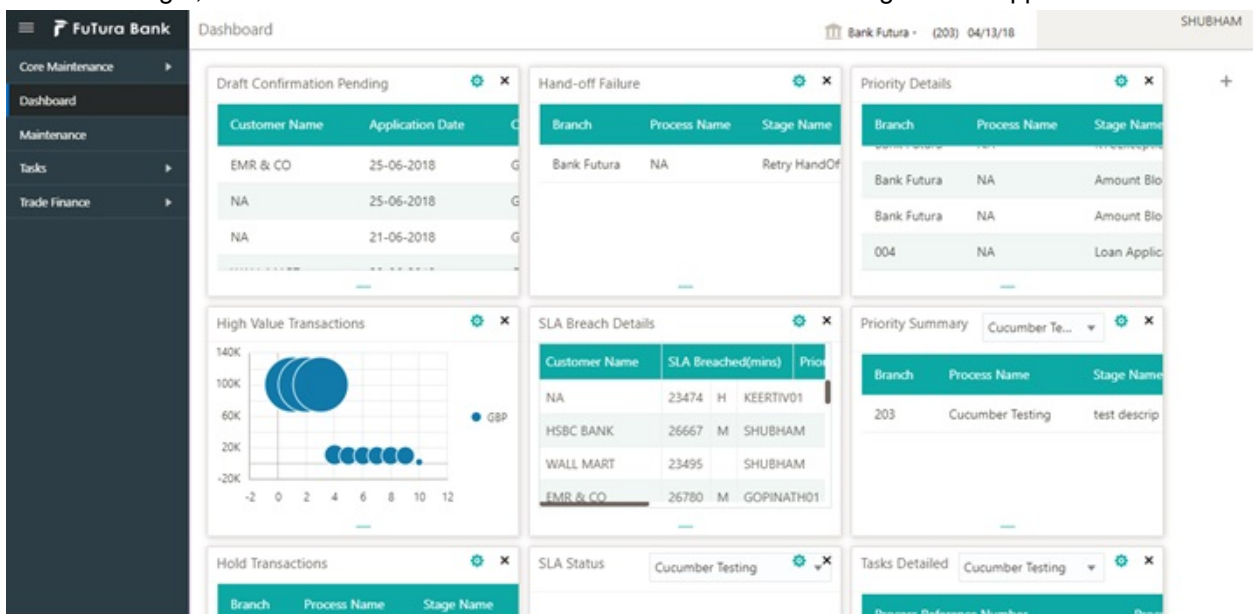
Password *

.....

Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance - Islamic > Import - Documentary Credit> Drawings Under Transferred LC-Islamic.**

The Registration stage has two sections Application Details and LC Drawing Details. Let's look at the details of Registration screens below:

Application Details

Drawings Under Transferred LC - Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Transfer LC Reference Number *
PK2ELC1211253009

Priority
Medium

Presenting Bank Reference Number *
65656

First Beneficiary
001044 GOODCARE PLC

Submission Mode
Desk

Document Received Date *
May 5, 2021

Branch
PK2-Oracle Banking Trade Finan...

Drawing Date *
May 5, 2021

Allow Substitution Of Document
☐

Process Reference Number
PK2IDRT00011413

Presenting Bank *
000336 BANK CORP

View Transfer LC Transfer LC Events

LC Drawing Details

Tenor Type
Sight

Outstanding LC Value
GBP

Drawing Reference Number

Limits/Collateral Required
☐

Documents Received

Product Code

Drawing Amount
GBP

Document Set

Product Description

Amount In Local Currency
GBP

Duplicate
☐

Operation Type

Additional Amount

Hold Cancel Save & Close Submit

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Transfer LC Reference Number	User can search the Transfer LC Reference Number by using the LOV. As part of LOV criteria; user can input the Transfer LC Reference Number, Beneficiary, Currency, Amount or User Reference Number.	
First Beneficiary	First Beneficiary details is defaulted from the underlying Transfer LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from the Transfer LC details.	203-Bank Futura -Branch FZ1
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance. User can change the priority.	High
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk FAX - Request received through FAX Email - Request received through Email Courier - Request received through Courier	Desk

Field	Description	Sample Values
Drawing Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018
Presenting Bank	Select the Presenting Bank. Click the look up icon to search the presenting bank based on Party ID/Party Name. You can also input the party ID and on tab out system will validate and populate the 'Presenting Bank' name.	
Presenting Bank Reference Number	User can enter the Presenting Bank Reference Number provided by the presenting bank.	
Document Received Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed.	
Allow Substitution Of Document	Toggle On: The bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary during Drawing	

LC Drawing Details

LC Drawing Details

Tenor Type

Sight

Outstanding LC Value

GBP

Drawing Reference Number

Limits/Collateral Required

☐

Documents Received

Product Code

Drawing Amount

GBP

Document Set

Product Description

Amount In Local Currency

GBP

Duplicate

☐

Operation Type

Additional Amount

Hold

Cancel

Save & Close

Submit

Provide the drawing LC Details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Select the Tenor Type from the LOV: - Sight - Usance - Multi tenor	
Documents Received	Set the document received status as 'Yes' or 'No' from the LOV.	

Field	Description	Sample Values
Document Set	Enables to user to select the number of sets of documents received from the LOV: • First • Second • Both	
Duplicate	If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.	
Outstanding LC Value	Read only field. This field displays the value as per the LC.	
Product Code	Select the product code for the drawing. Only the products that are allowed for Bills under Transfer LC should be displayed.	
Product Description	Read only field. This field displays the description of the product as per the product code selected.	
Operation Type	Read only field. Operation Type will be auto-populated based on the selected LC.	
Drawing Reference Number	This is auto generated by the back end system.	
Drawing Amount	Provide the drawing amount under the LC for which documents have been submitted.	
Amount In Local Currency	After the tab out of 'Currency Code, Amount' field, system populates the Local currency and amount value in this field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Additional Amount	Read only field. This field can have value only if an MT 750 has been processed for this drawing earlier	
Limits/ Collateral Required	Toggle On: Set the toggle 'On' to enable limit check. Toggle Off: Set the toggle 'Off' to disable limit check.	

Miscellaneous

Drawings Under Transferred LC - Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Transfer LC Reference Number *
PK2ELCI211253009

First Beneficiary
001044 GOODCARE PLC

Branch
PK2-Oracle Banking Trade Finan...

Process Reference Number
PK2IDRT000011413

Priority
Medium

Submission Mode
Desk

Drawing Date *
May 5, 2021

Presenting Bank *
000336 BANK CORP

Presenting Bank Reference Number *
65656

Document Received Date *
May 5, 2021

Allow Substitution Of Document
☐

View Transfer LC Transfer LC Events

LC Drawing Details

Tenor Type
Sight

Documents Received
Document Set

Outstanding LC Value
GBP

Product Code

Duplicate
☐

Drawing Reference Number

Product Description

Operation Type

Limits/Collateral Required
☐

Drawing Amount
GBP

Amount In Local Currency
GBP

Additional Amount

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC. System should display the mandatory and optional documents. User to upload the applicable documents. If mandatory documents are not uploaded, system should display an error on submit. The possible documents submitted for Drawings under Transferred LC request are • Bill of Lading • Airway Bill • Invoice • Packing List • Bill of Exchange • Certificate of Origin • Inspection Certificate • Other documents	
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View Transfer LC	Enables the user to view the latest Transfer LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Transfer LC Events	On click, system will display the details of Transfer LC events.	
Action Buttons		
Submit	On submit, task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Drawing Under Transferred LC Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

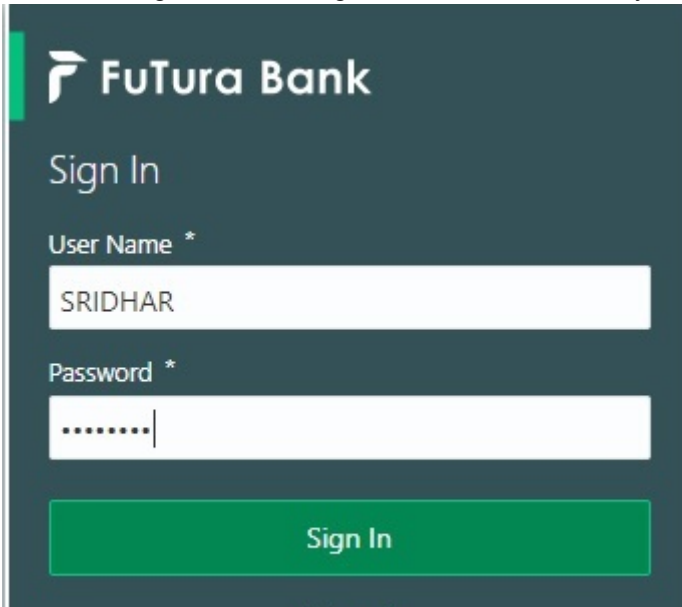
Scrutiny

On successful completion of Registration of an Drawings under Transferred LC request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

As part of scrutiny, the user can enter/update basic details of the Islamic Drawings under Transferred LC and check the documents received under the LC for discrepancies and can be verify if the request can be progressed further.

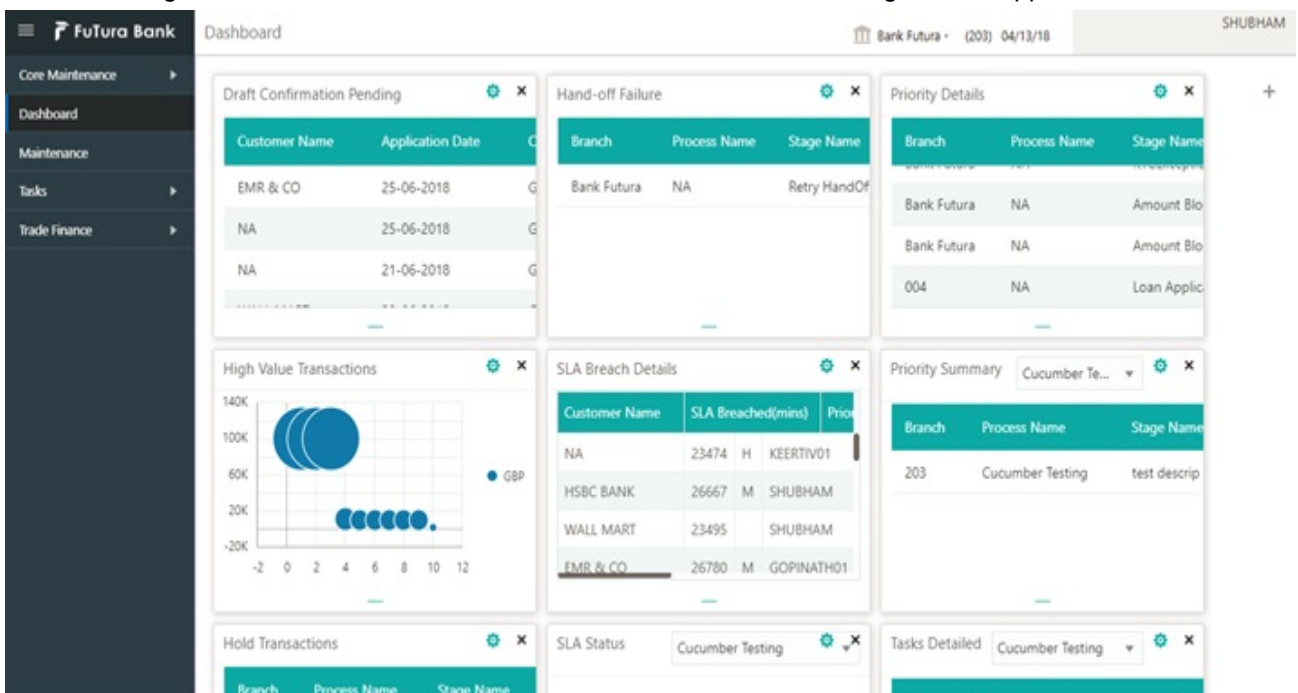
Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Tasks> Free Tasks.

Oracle Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Islamic Drawings Under Tra...	PK2TLC0000056574	PK2TLC0000056574	Scrutiny	21-05-23	PK2	000150
Acquire & Edit		Import LC Liquidation	PK2ILCL0000056570	PK2ILCL0000056570	DataEnrichment	21-05-22	PK2	
Acquire & Edit		Import LC Liquidation	PK2ILCL0000056569	PK2ILCL0000056569	DataEnrichment	21-05-22	PK2	
Acquire & Edit	Medium	Import Documentary Collect...	PK2IDCL0000056568	PK2IDCL0000056568	DataEnrichment	21-05-22	PK2	001044
Acquire & Edit		Import LC Liquidation	PK2ILCL0000056567	PK2ILCL0000056567	DataEnrichment	21-05-22	PK2	
Acquire & Edit		Import LC Drawing Update	PK2ILCU0000056566	PK2ILCU0000056566	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Import LC Drawing Update	PK2ILCU0000056565	PK2ILCU0000056565	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Import LC Drawing Update	PK2ILCU0000056564	PK2ILCU0000056564	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Guarantee Amendment	PK2GTEA0000056563	PK2GTEA0000056563	DataEnrichment	21-05-22	PK2	000153
Acquire & Edit		Import LC Amendment	PK2ILCA0000056559	PK2ILCA0000056559	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Import LC Amendment	PK2ILCA0000056558	PK2ILCA0000056558	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Import LC Amendment	PK2ILCA0000056557	PK2ILCA0000056557	Scrutiny	21-05-22	PK2	001044
Acquire & Edit	Medium	Export LC Transfer Amendm...	PK2ELCT0000056555	PK2ELCT0000056555	DataEnrichment	21-05-22	PK2	001204

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Oracle Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Islamic Drawings Under Tra...	PK2TLC0000056574	PK2TLC0000056574	Scrutiny	21-05-23	PK2	000150
Acquire & Edit		Import LC Liquidation	PK2ILCL0000056570	PK2ILCL0000056570	DataEnrichment	21-05-22	PK2	
Acquire & Edit		Import LC Liquidation	PK2ILCL0000056569	PK2ILCL0000056569	DataEnrichment	21-05-22	PK2	
Acquire & Edit	Medium	Import Documentary Collect...	PK2IDCL0000056568	PK2IDCL0000056568	DataEnrichment	21-05-22	PK2	001044
Acquire & Edit		Import LC Liquidation	PK2ILCL0000056567	PK2ILCL0000056567	DataEnrichment	21-05-22	PK2	
Acquire & Edit		Import LC Drawing Update	PK2ILCU0000056566	PK2ILCU0000056566	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Import LC Drawing Update	PK2ILCU0000056565	PK2ILCU0000056565	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Import LC Drawing Update	PK2ILCU0000056564	PK2ILCU0000056564	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Guarantee Amendment	PK2GTEA0000056563	PK2GTEA0000056563	DataEnrichment	21-05-22	PK2	000153
Acquire & Edit		Import LC Amendment	PK2ILCA0000056559	PK2ILCA0000056559	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Import LC Amendment	PK2ILCA0000056558	PK2ILCA0000056558	Scrutiny	21-05-22	PK2	001044
Acquire & Edit		Import LC Amendment	PK2ILCA0000056557	PK2ILCA0000056557	Scrutiny	21-05-22	PK2	001044
Acquire & Edit	Medium	Export LC Transfer Amendm...	PK2ELCT0000056555	PK2ELCT0000056555	DataEnrichment	21-05-22	PK2	001204

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Oracle My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	Medium	Islamic Drawings Under...	PK2IDRT000011413	PK2IDRT000011413	Scrutiny	22-03-18	PK2	001185	
Edit	Medium	Guarantee Amendment	PK2GTEA000011389	PK2GTEA000011389	DataEnrichment	22-03-17	PK2	001044	
Edit	Medium	Islamic Export Docume...	PK2IEDC000011384	PK2IEDC000011384	Approval Task Level 1	22-03-17	PK2	001044	
Edit	--	Import LC Amendment	PK2ILCA000011376	PK2ILCA000011376	Registration	22-03-17	PK2	001044	
Edit	Medium	Islamic Export Docume...	PK2IEDU000011316	PK2IEDU000011316	KYC Exceptional approval	22-03-15	PK2	000153	
Edit	Medium	Export LC Drawing Upd...	PK2ELCU000011182	PK2ELCU000011182	Handoff RetryTask	22-03-13	PK2	001044	
Edit	--	Islamic Import LC Amen...	PK2ILIA000011175	PK2ILIA000011175	Registration	22-03-12	PK2	001044	
Edit	--	Islamic Import LC Amen...	PK2ILIA000011174	PK2ILIA000011174	Registration	22-03-12	PK2	000153	
Edit	--	ExportLC Amendment B...	PK2IEAM000011169	PK2IEAM000011169	Registration	22-03-12	PK2	001044	
Edit	--	ExportLC Amendment B...	PK2IEAM000011168	PK2IEAM000011168	Registration	22-03-12	PK2	001044	
Edit	--	Islamic Import Docume...	PK2IIDU000011157	PK2IIDU000011157	Registration	22-03-12	PK2	001044	
Edit	Medium	Islamic Import Docume...	PK2IIDL000011114	PK2IIDL000011114	DataEnrichment	22-03-11	PK2	001044	
Edit	Medium	Islamic Import Docume...	PK2IIDL000011111	PK2IIDL000011111	DataEnrichment	22-03-11	PK2	001044	

The Scrutiny stage has the following hops for data capture:

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Additional Details

- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/SWIFT (MT 750) may not be editable.

Audit - This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

Main Details

Main details section has two sub section as follows:

- Application Details
- Drawing Details

Application Details

All fields displayed under application details section, would be read only except for the **Priority**.

Islamic Drawings Under Transfer LC
Scrutiny :: Application No:- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (1 / 8)

Main Details

Application Details

Transfer LC Reference Number *
PK2ELCI211253009

First Beneficiary
001044 GOODCARE PLC

Branch
PK2-Oracle Banking Trade Finan...

Process Reference Number
PK2IDRT000011413

Priority
Medium

Submission Mode
Desk

Drawing Date *
May 5, 2021

Presenting Bank *
000336 BANK CORP

Presenting Bank Reference Number *
65656

Document Received Date *
May 5, 2021

Allow Substitution Of Document
☐

LC Drawing Details

Tenor Type *
Sight

Documents Received
Document Set

Duplicate
☐

Outstanding LC Value
GBP

Product Code *
Product Description

Operation Type

Drawing Reference Number
Amount In Local Currency

Additional Amount

Limits/Collateral Required
☐

Reject Refer Hold Cancel Save & Close Back Next

Following fields can be amended based on the description provided in the following table:

Field	Description	Sample Values
Transfer LC Reference Number	Read only - System displays the LC Reference Number to be transferred.	
First Beneficiary	Read only - System displays the First Beneficiary details.	EMR & CO
Branch	Read only field. System displays the branch details.	203-Bank Futura -Branch FZ1
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Priority	System will default the Priority as Low/Medium/ High based on maintenance. User can change the priority.	High
Submission Mode	Read only - System displays the selected submission mode.	Desk
Drawing Date	Read only - System displays the branch's current date.	04/13/2018
Presenting Bank	Read only - System displays the Presenting Bank name and address as per presenting bank ID selected.	
Presenting Bank Reference Number	Read only - System displays the Presenting Bank Reference Number.	
Document Received Date	Read only - System displays the branch's current date.	
Allow Substitution Of Document	Read only - Toggle On: The bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary during Drawing	

LC Drawing Details

Following fields can be amended based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Select the Tenor Type from the drop down: • Sight • Usance • Mixed	
Documents Received	Set the document received status as 'Yes' or 'No' from the drop down.	

Field	Description	Sample Values
Document Set	Enables to user to select the number of sets of documents received from the LOV: • First • Second • Both	
Duplicate	If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.	
Outstanding LC Value	Read only field. This field displays the value as per the LC.	
Product Code	Select the product code for the drawing. Only the products that are allowed for Bills under Transfer LC should be displayed.	
Product Description	Read only field. This field displays the description of the product as per the product code selected.	
Operation Type	Read only field. Operation Type will be auto-populated based on the selected LC.	
Drawing Reference Number	This is auto generated by the back end system.	
Drawing Amount	Outstanding LC Amount as per the latest LC details is displayed. User can Change the value.	
Amount In Local Currency	After the tab out of 'Currency Code, Amount' field, system populates the Local currency and amount value in this field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Additional Amount	Read only field. This field can have value only if an MT 750 has been processed for this drawing earlier	
Limits/ Collateral Required	Toggle On: Set the toggle 'On' to enable limit check. Toggle Off: Set the toggle 'Off' to disable limit check.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Document Details

As part of DE user verifies and enter the basic document details for Islamic Drawing Under Transferred LC.

Islamic Drawings Under Transfer LC
Scrutiny :: Application No:- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details
Document Details
Shipment Details
Additional Conditions
Discrepancy Details
Maturity Details
Additional Details
Summary

Document Details
Document Details

Screen (2 / 8)

Document Code	Document Reference Number	Copy	Original	Description	First Mail Received Original	First Mail Received Copy	Second Mail Received Original	Second Mail Received Copy
AIRDOC				SET of Clauses for CIF				
BOL								
INSDOC				+INSURANCE POLICY OR C...				
INVDOC				Commercial invoice, duly si...				
MARDOC				COPY OF FAX/TELEX ADVISI...				

Page 1 of 2 (1-5 of 7 items) < 1 2 >

Audit

Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Document Code	Document code is auto-populated from the latest LC	
Document Reference Number	User can provide the reference number available in the documents.	
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	
Description	Description of documents as required in LC.	
First Mail Original	Provide the details of First Mail Received original.	
First Mail Copy	Provide the details of First Mail Received Copy.	
Second Mail Original	Provide the details of Second Mail Received original.	
Second Mail Copy	Provide the details of Second Mail Received Copy.	
Received Description	Description of the document received from the presenting bank.	
Discrepant	Toggle On: System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document.	
Discrepancy Code	System displays the discrepancy code for the discrepancy identified. System will not over write the discrepancy description. User can change the code	
Discrepancy Description	Description is displayed based on the discrepancy code. System will match the numbers available in LC Terms with that of numbers available for the Original and Copy and if there are differences in one or both, system will mark the items as discrepant and provide a discrepancy description as Number of originals received not as per LC terms and/or Number of copies not received as per LC terms. User can modify the description.	
Action	Edit: Click edit to edit the discrepancy details. Delete: Click Delete to delete the discrepancy details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Shipment Details

As part of DE user scrutinizes goods and shipment details for Islamic Drawing Under Transferred LC.

Islamic Drawings Under Transfer LC
Scrutiny :- Application No:- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (3 / 8)

Main Details
Document Details
Shipment Details
Additional Conditions
Discrepancy Details
Maturity Details
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Summary

Shipment Details

Goods Details

Select	Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
No data to display.						

Country of Origin Insurance Company Insurance Company Address

Shipment Details

Date of Shipment Shipping Agent Date of Presentation
May 5, 2021

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED		☐		
Trans Shipment	NOT ALLOWED		☐		
Place of Taking Charge			☐		
Port of Loading			☐		
Port of Discharge			☐		
Place of Final Destination			☐		
Latest Date of Shipment			☐		
Shipment Period			☐		
Period of Presentation			☐		

Carrier Details

Carrier Name Port
SAS Carrier London

Audit Reject Refer Hold Cancel Save & Close Back Next

Goods Details

Provide the Goods Details based on the description in the following table:

Field	Description	Sample Values
Goods Code	This field displays goods code as per latest LC.	
As per LC	This field displays description of the goods as per the latest LC.	
As per Documents	Provide the description of the goods as per the documents received from presenting bank.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received with respect to goods description.	

Field	Description	Sample Values
Discrepancy Code	Select the discrepancy code based on the discrepancy identified. System will not over write the discrepancy description. User can change the code. Dummy code can also be used.	
Discrepancy Description	Description will be displayed based on the discrepancy code. User can modify the description.	
Country of Origin	Update the country of origin as per the documents received.	
Insurance Company	Update the details of insurance company as per the document received.	
Insurance Company Address	Update the insurance company address as per the document received.	

Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Date of Shipment	Update the date of shipment as per the documents received. If user does not provide details, system should display an error message 'Please provide Date of shipment '	
Shipping Agent	Update the shipping agent details based on the documents received.	
Date of Presentation	Read only field. Documents received date is auto-populated based on the system date.	
Details	Details represent the fields in latest LC.	
Partial Shipments	System displays the Partial Shipment terms from LC. User to capture if the shipment was partial. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Partial Shipment terms' User can modify the description.	
Transshipment	System displays the Transshipment terms from LC. User to capture if the shipment was Trans-shipped. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Trans-Shipment terms' User can modify the description	

Field	Description	Sample Values
Place Of Taking In Charge	System displays the Place of Taking charge if any from LC. User to capture Place of Taking charge if any from documents received. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Place of Taking Charge' User can modify the description.	
Port Of Loading	System displays the Port of Loading if any from LC. User to capture Port of Loading if any from documents received. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Port of Loading'. User can modify the description.	
Port Of Discharge	System displays the Port of Discharge if any from LC. User to capture Port of Discharge if any from documents received. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Port of Discharge' User can modify the description	
Place Of Final Destination	System displays the Place of Final Destination if any from LC. User to capture Place of Final Destination if any from documents received. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as 'Discrepancy in Place of Taking Charge' User can modify the description.	
Latest Date Of Shipment	System displays the Latest Date of Shipment if any from LC. System will also display the Shipment Date already captured as per documents. If the shipment date is later than the latest date of shipment, system will mark discrepancy as 'Late Shipment'. User can modify the description.	
Shipment Period	System displays the Shipment Period if any from LC. System will also display the Shipment Date already captured as per documents. If the shipment date is later than Shipment Period + Date of Expiry, system will mark discrepancy as 'Late Shipment'. User can modify the description.	
Period of Presentation	System displays the Period of Presentation if any from LC. System will also display the Presentation Date already captured as per documents. If the presentation date is later than the latest date of shipment+ Presentation Period, system will mark discrepancy as 'Late Presentation'. User can modify the description.	

Field	Description	Sample Values
As per LC	This field displays description of the fields as per the latest LC.	
As Per Document	Provide the description of the field as per document.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC terms and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Carrier Details

If the shipment involves multiple carrier and or shipment passes through multiple ports, the details will be captured under this segment.

Field	Description	Sample Values
Carrier Name	Provide the details of the carrier as per the documents received, if the goods got shipped via multiple carriers.	
Port	Provide the port details as per the document received, if the ship has transit on its way to the destination.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Drawing Under Transferred LC Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Conditions

As part of DE user verifies whether the documents received comply with the additional conditions mentioned in LC for Islamic Drawing Under Transferred LC.

Islamic Drawings Under Transfer LC
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Documents Remarks Overrides Customer Instruction Common Group Messages

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Additional Conditions

Screen (4 / 8)

FFT Code	FFT Description	Discrepant	Discrepant Code	Discrepant Description	Action
49HSPLPMTREC	49hsplpmtrec	☐	CERT	H.S. CODE NO.9401.7900 CUPONED ADDRESS FM SHI 1 DE	

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Reject Refer Hold Cancel Save & Close Back Next

This section displays the list of additional conditions as per LC. Provide the Additional Conditions details as per the description in the following table:

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified. System will not overwrite the discrepancy description. User can change the code. Dummy code can also be used.	
Discrepancy Description	User to input the discrepancy description if any. This field will be enabled only if the user has selected the discrepancy check box. If discrepancy check box is ticked, and if there is no discrepancy description, system will give an error message 'Please provide discrepancy description'.	
Action	Edit: Click edit to edit the FFT code Delete: Click Delete to delete the FFT code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Import LC Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Discrepancy Details

This section displays the list of discrepancies captured. As part of DE user scrutinize the discrepancies details for Islamic Drawing Under Transferred LC.

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Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details Document Details Shipment Details Additional Conditions **Discrepancy Details** Maturity Details Additional Details Summary

Discrepancy Details

Discrepancy Details

Discrepancy Code	Discrepancy Description	Discrepancy Resolved	Resolved Date	Resolved Remarks	Action
AMNT	Drawing Amount more than Outstanding LC Amount	No			 

Page 1 (1 of 1 items) < 1 >

Reject Refer Hold Cancel Save & Close Back Next

User can add/update/review the discrepancies identified in the section.

Field	Description	Sample Values
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Discrepancy Resolved	Set the toggle to 'Yes', if discrepancy is resolved.	
Resolved Date	Set the date when discrepancy is resolved.	
Resolved Remarks	Provide remarks for discrepancy resolution.	
Action	Click Edit icon to edit the discrepancy details. Click Delete icon to delete the discrepancy details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Drawing Under Transferred LC Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Maturity Details

As part of DE user enter the payment details of Islamic Drawing Under Transferred LC.

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Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (6 / 8)

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Additional Details
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Maturity Details

Tenor Type: Sight
 Tenor Basis:
 Start Date: May 5, 2021
 Tenor Days:
 Transit Days:
 Maturity Date: May 5, 2021
 Profit from Date:
 Profit To Date:
 Acceptance Commission From Date:
 Acceptance Commission To Date:

Other Bank Charges

Other Bank Charges-1:
 Other Bank Charge Description-1:
 Other Bank Charges-2:
 Other Bank Charge Description-2:
 Other Bank Charges-3:
 Other Bank Charge Description-3:

Other Details

Debit Value Date: May 5, 2021
 Credit Value Date: May 5, 2021
 Value Date: May 5, 2021
 Allow Pre-Payment: ☐
 Refund Interest: ☐
 Transfer Collateral from LC: ☐

Reject Refer Hold Cancel Save & Close Back Next

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per LC.	
Tenor Basis	This field should be enabled if Tenor is not sight. User can capture the tenor basis.	
Start Date	Provide the tenor start date.	
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	

Field	Description	Sample Values
Maturity Date	System displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.	
Profit from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Profit To Date	Select the interest to date. The interest to date cannot be earlier than branch date and later than maturity date.	
Tenor Basis	Provide the tenor basis, if the tenor is not sight.	
Accept Commission From Date	Provide the accept commission from date.	
Accept Commission To Date	Provide the accept commission to date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	

Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	

Field	Description	Sample Values
Allow Pre-Payment	Toggle On: Set the toggle 'On' to enable pre-payment. Toggle Off: Set the toggle 'Off' to disable pre-payment.	
Refund Interest	Toggle On: Set the toggle 'On' to refund the interest. Toggle Off: Set the toggle 'Off' to deny the interest refund.	
Transfer Collateral from LC	Toggle On: Set the toggle 'On' to enable the transfer collateral from LC. Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Drawing Under Transferred LC Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Details

Scrutiny User can view, verify, enter and update the Additional Details during Drawings under Transferred LC request.

Islamic Drawings Under Transfer LC
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Documents Remarks Overrides Customer Instruction Common Group Messages

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Additional Details

Revolving Details :
Revolving : **No**
Revolving In :
Revolving Frequency :

Limits and Collaterals :
Limit Currency :
Limit Contribution :
Limit Status :
Collateral Currency :
Collateral :
Contribution :
Collateral Status :

Commission, Charges and Taxes :
Charge :
Commission :
Tax :
Block Status : **Not Initiated**

Screen (7 / 8)

Audit

Reject Refer Hold Cancel Save & Close Back Next

Commission, Charges and Taxes Details

Landing on the additional tab, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 > >>									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
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Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close

Cancel

Commission Details

If default commission is available under the product, it should be defaulted here with values.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Charge Details are auto-populated from the back-end system.

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	

Field	Description	Sample Values
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. The defaulted value can be edited by the user.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Drawing Under Transferred LC Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details in scrutiny stage of Islamic Drawings under Transferred LC.

The summary for Drawings under Transferred LC Scrutiny Stage is displayed as tiles. The tiles must display a list of important fields with values. User can drill down the tile and view the details provided in the various data segments.

Islamic Drawings Under Transfer LC
Scrutiny :: Application No:- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details Document Details Shipment Details Additional Conditions

Product Code : Currency : GBP Amount : Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC Goods Code : Goods Description : FFT Code 1 : 49HSPLPMTREC

Discrepancy Details Maturity Details Revolving Details Limits and Collaterals

Discrepancy Code 1 : Discrepancy Code 2 : Tenor Type : Slight Tenor Basis : Maturity Date : 2021-05-05 Revolving : Yes Revolving In : Time Revolving Frequency : 30 Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified

Commission,Charges and Taxes

Charge : Commission : Tax : Block Status : Not Initia

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view the availability and shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancies exist or not and the number of discrepancies on the face of the tile. On drill down, user can see all the discrepancies listed under the LC drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view charge details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Submit	Task will get moved to next logical stage of Drawing under Transferred LC. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Back	Click Back to move the task to the previous segment	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Data Enrichment

A DE user can input new Drawing under Transferred LC. As part of data enrichment user can enter/update basic details of the Drawing.

The user can enrich details of update drawings under Transferred LC.

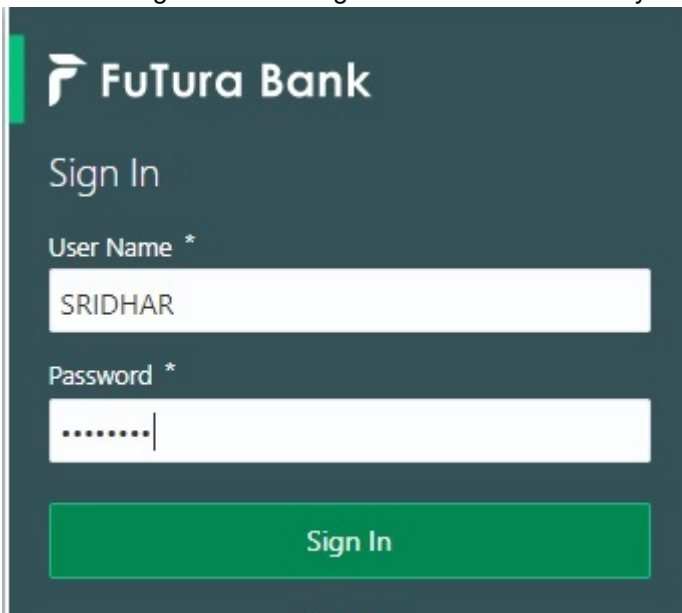


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

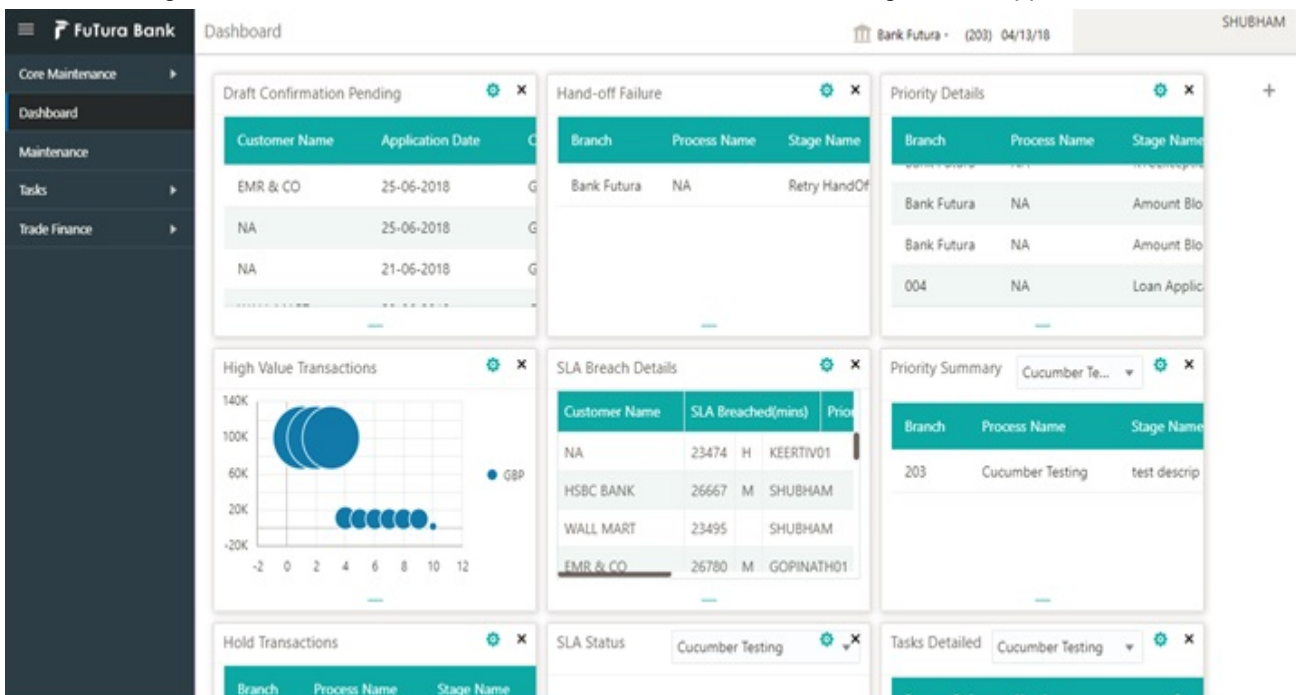
Sign In

User Name *

Password *

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Tasks> Free Tasks.**

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Islamic Drawings Under...	PK2IDRT000011413	PK2IDRT000011413	DataEnrichment	22-03-18	PK2	001185
Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011401	PK2EDCB000011401	DataEnrichment	22-03-18	PK2	001356
Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011400	PK2EDCB000011400	DataEnrichment	22-03-18	PK2	001356
Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011399	PK2EDCB000011399	DataEnrichment	22-03-18	PK2	001356
Acquire & Edit	Medium	Guarantee Advice Closu...	PK2GTAC000011390	PK2GTAC000011390	DataEnrichment	22-03-18	PK2	001044
Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011398	PK2EDCB000011398	DataEnrichment	22-03-18	PK2	001356
Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011397	PK2EDCB000011397	DataEnrichment	22-03-18	PK2	001356
Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011396	PK2EDCB000011396	DataEnrichment	22-03-18	PK2	001356
Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011395	PK2EDCB000011395	DataEnrichment	22-03-18	PK2	001356
Acquire & Edit	Medium	Export LC Advise	PK2ELCA000011375	PK2ELCA000011375	DataEnrichment	22-03-17	PK2	001044
Acquire & Edit	Medium	Import Documentary C...	PK2IDCB000011327	PK2IDCB000011327	Approval Task Level 1	22-03-16	PK2	001043
Acquire & Edit	Medium	Import LC Issuance	PK2ILCI000011358	PK2ILCI000011358	Scrutiny	22-03-16	PK2	001043
Acquire & Edit	Medium	Import Documentary C...	PK2IDCB000011355	PK2IDCB000011355	Approval Task Level 1	22-03-16	PK2	001044

Page 1 of 263 (1 - 20 of 5258 items)

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
☒ Acquire & Edit	Medium	Islamic Drawings Under...	PK2IDRT000011413	PK2IDRT000011413	DataEnrichment	22-03-18	PK2	001185
☐ Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011401	PK2EDCB000011401	DataEnrichment	22-03-18	PK2	001356
☐ Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011400	PK2EDCB000011400	DataEnrichment	22-03-18	PK2	001356
☐ Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011399	PK2EDCB000011399	DataEnrichment	22-03-18	PK2	001356
☐ Acquire & Edit	Medium	Guarantee Advice Closu...	PK2GTAC000011390	PK2GTAC000011390	DataEnrichment	22-03-18	PK2	001044
☐ Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011398	PK2EDCB000011398	DataEnrichment	22-03-18	PK2	001356
☐ Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011397	PK2EDCB000011397	DataEnrichment	22-03-18	PK2	001356
☐ Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011396	PK2EDCB000011396	DataEnrichment	22-03-18	PK2	001356
☐ Acquire & Edit	--	Export Documentary Co...	PK2EDCB000011395	PK2EDCB000011395	DataEnrichment	22-03-18	PK2	001356
☐ Acquire & Edit	Medium	Export LC Advise	PK2ELCA000011375	PK2ELCA000011375	DataEnrichment	22-03-17	PK2	001044
☐ Acquire & Edit	Medium	Import Documentary C...	PK2IDCB000011327	PK2IDCB000011327	Approval Task Level 1	22-03-16	PK2	001043
☐ Acquire & Edit	Medium	Import LC Issuance	PK2ILCI000011358	PK2ILCI000011358	Scrutiny	22-03-16	PK2	001043
☐ Acquire & Edit	Medium	Import Documentary C...	PK2IDCB000011355	PK2IDCB000011355	Approval Task Level 1	22-03-16	PK2	001044

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Edit	Medium	Islamic Drawings Under...	PK2IDRT000011413	PK2IDRT000011413	DataEnrichment	22-03-18	PK2	001185	
☐ Edit	Medium	Guarantee Amendment	PK2GTEA000011389	PK2GTEA000011389	DataEnrichment	22-03-17	PK2	001044	
☐ Edit	Medium	Islamic Export Docume...	PK2IEDC000011384	PK2IEDC000011384	Approval Task Level 1	22-03-17	PK2	001044	
☐ Edit	--	Import LC Amendment	PK2ILCA000011376	PK2ILCA000011376	Registration	22-03-17	PK2	001044	
☐ Edit	Medium	Islamic Export Docume...	PK2IEDU000011316	PK2IEDU000011316	KYC Exceptional approval	22-03-15	PK2	000153	
☐ Edit	Medium	Export LC Drawing Upd...	PK2ELCU000011182	PK2ELCU000011182	Handoff RetryTask	22-03-13	PK2	001044	
☐ Edit	--	Islamic Import LC Amen...	PK2IILA000011175	PK2IILA000011175	Registration	22-03-12	PK2	001044	
☐ Edit	--	Islamic Import LC Amen...	PK2IILA000011174	PK2IILA000011174	Registration	22-03-12	PK2	000153	
☐ Edit	--	Export LC Amendment B...	PK2IEAM000011169	PK2IEAM000011169	Registration	22-03-12	PK2	001044	
☐ Edit	--	Export LC Amendment B...	PK2IEAM000011168	PK2IEAM000011168	Registration	22-03-12	PK2	001044	
☐ Edit	--	Islamic Import Docume...	PK2IIDU000011157	PK2IIDU000011157	Registration	22-03-12	PK2	001044	
☐ Edit	Medium	Islamic Import Docume...	PK2IIDL000011114	PK2IIDL000011114	DataEnrichment	22-03-11	PK2	001044	
☐ Edit	Medium	Islamic Import Docume...	PK2IIDL000011111	PK2IIDL000011111	DataEnrichment	22-03-11	PK2	001044	

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The Data Enrichment stage has five sections as follows:

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details

- Maturity Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Document Details

Refer to [Document Details](#).

Shipment Details

Refer to [Shipment Details](#).

Additional Conditions

Refer to [Additional Conditions](#).

Discrepancy Details

Refer to [Discrepancy Details](#).

Maturity Details

Refer to [Maturity Details](#).

Advices

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

DE User can view and verify the Advices generated for Drawings under Transferred LC process. Some of the possible advices could be Payment Message, Non Payment/Non Acceptance Advise, and Document Arrival Notice.

Islamic Drawings Under Transfer LC
DataEnrichment :: Application No:- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details Document Details Shipment Details Additional Conditions Discrepancy Details Maturity Details **Advices** Additional Details Settlement Details Summary

Advices

Advice : PAYMENT_MESS...

Advice Name: PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

Screen (7 / 10)

Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice ☐

Party ID: 001044

Advice Name: GUA_CLAIM_ADV

Party Name: GOODCARE PLC

Medium: MAIL

Advice Party: APP

FFT Code

No data to display.

Instructions

OK Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Details

DE user can enter and verify the basic additional details in applicable tiles for drawing under the LC. This is a multi-grid section with facility to attach more than one line.

Islamic Drawings Under Transfer LC
DataEnrichment :: Application No:- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details Document Details Shipment Details Additional Conditions Discrepancy Details Maturity Details Advices **Additional Details** Settlement Details Summary

Additional Details Screen (8 / 10)

Revolving Details	Limits and Collaterals	Commission, Charges and...	Payment Details
Revolving : No Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status : Not Initiated	Immediate Liquidation: No Required : No Immediate : No Acceptance Required
FX Linkage	Finance Preferences	Preview Messages	
FX Reference Number : Contract Currency : Contract Amount :	Finance Product : LinkageRefNo : Finance Tenor Finance : Currency : Finance Amount : Finance Maturity Date :	Language : Preview Advice : -	

Audit

Reject Refer Hold Cancel Save & Close Back Next

Limit and Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

System will display the Limits available as applicable during the Issuance/ Amendment.

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	View
No data to display.								

Cash Collateral Details

Collateral Percentage *

56.0

Collateral Currency and amount

GBP

£627.76

Exchange Rate

1

+

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK20012040018	1	50	£313.88	313.88	VS	The am...

Save & Close

Cancel

Limit Details

Customer Id

001044

Line ID *

001044_GB

Contribution % *

100.0

Limits Description

Contribution Currency

GBP

Contribution Amount *

£9,000.00

Limit Currency

GBP

Limit Available Amount

£9,99,999.00

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date

24-Dec-2020

Verify

Save & Close

Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
+	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
-------------	--	--

Field	Description	Sample Values
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field displays the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount *

£627.76

Sequence Number

1.0

Collateral Contribution Amount *

£313.88

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£313.88

Response

VS

Verify

Collateral Amount to be Collected *

£627.76

Collateral Split % *

50.0

▼

▲

Settlement Account *

PK20012040018

🔍

Exchange Rate

1

▼

▲

Account Available Amount

£11,100.00

Response Message

The amount block can be performed as:

Save & Close

Cancel

Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	

Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission, Charges and Taxes

Refer to [Charge Details](#).

Payment Details

Payment Details

PaymentDetails

Preshipment Credit Outstanding Amount

GBP
£1,200.00

Preshipment Credit Repayment Amount

GBP

Reimbursement Claimed

☐

Reimbursing Bank

Reimbursement Date

Mar 10, 2022

Liquidate using Collateral

☐

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
No data to display.						

Page 1 (0 of 0 items)

Save & Close

Cancel

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Immediate Liquidation Required	This toggle is applicable only for sight LC's and only if the drawings are without discrepancy. Switch on the toggle to enable immediate liquidation for the drawing. This will be enabled only for sight payments.	
Immediate Acceptance Required	This toggle is applicable only for Usance/multi tenor drawings and only if the drawings are without discrepancy. Switch on the toggle if acceptance to be sent immediately.	
Reimbursing Bank	Read only field. Reimbursing bank details gets defaulted from the LC. System will display the Reimbursing bank details from the LC record.	
Reimbursement Claimed	Switch on the toggle if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.	
Reimbursement Date	This field will be enabled only if Reimbursement Claimed is 'Yes'. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.	
Pre-shipment Credit Outstanding Amount	Specify the pre-shipment credit outstanding amount.	
Pre-shipment Credit Repayment Amount	This field displays the pre-shipment credit repayment amount.	

Field	Description	Sample Values
Reimbursement Claimed	Switch on the toggle if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.	
Reimbursing Bank	Read only field. Reimbursing bank details gets defaulted from the LC.  Note If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".	
Reimbursement Date	This field will be enabled only if Reimbursement Claimed is 'Yes'. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.	
Liquidate using Collateral	Switch On the toggle to liquidate using collateral.	
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020	

Page 1 of 1 (1 of 1 items)

Average FX Rate
0

Save & Close Cancel

FX Linkage

FX Reference Number *
PK2FXF1200760501

Contract Amount
EUR €10,000.00

Linkage Amount *
EUR €10,000.00

Amount in Contract Currency
7518.8

FX Delivery Period From

Currency
EUR

Available Contract Amount
EUR €10,000.00

Rate
1.33

FX Expiry Date
28-Jun-2020

FX Delivery Period To

Save & Close Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, - Counterparty of the FX contract should be the counterparty of the Bill contract. - Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	

Field	Description	Sample Values
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Finance Preference

This section enables the user to request for a finance to liquidate the bill. This section will be enabled based on the product code selected.

Finance Preferences

Finance Preferences

Drawing/Collection Ref

Product Code

Finance Tenor Units

Finance Value Date

Bill Currency-Amount

Finance Currency-Amount

Tenor Type

Finance Maturity Date

Customer Id

Original Exchange Rate

Rate Type

Customer Name

Exchange Rate

Rate Code

Finance Profit/UDE Details

User Defined Element ID	UDE Description	Rate Code	Usage	UDE Value
No data to display.				

Limits

Linkage Type	Linkage Reference Number	Linked Percentage	Limit Amount
No data to display.			

Save & Close
Cancel

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing/Collection Ref	Defaults from the underlying task. User cannot change the value.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer ID	Read only field. This field displays the customer ID of the drawee.	
Customer Name	Read only field. This field displays the drawee name.	
Product Code	Read only field. This field displays the loan product code linked to the bill.	
Finance Currency-Amount	Select the currency and amount for the finance amount.	
Original Exchange Rate	This field displays the original exchange rate.	
Exchange Rate	This field will be enabled only if the Drawing currency and Finance Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable.	

Field	Description	Sample Values
Finance Tenor Units	Period of finance. System defaults the value. User can change the value. The numerical value for Days or months or Years is applicable.	
Tenor Type	System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.	
Rate Type	System populates the Rate type as part of simulation.	
Rate Code	System populates the Rate code as part of simulation.	
Finance Value Date	System defaults the branch date as Value date. User cannot change the value.	
Finance Maturity Date	System defaults the date based on the finance value date and finance tenor. User cannot change the value.	
Finance Profit/UDE Details		
User Defined Element ID	System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.	
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.	
Rate Code	System populates the Rate code as part of simulation.	
Usage	System populates the details as part of simulation.	
UDE Value	System populates the value as part of simulation.	
Limits		
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Number	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	

Field	Description	Sample Values
Limit Amount	System defaults the value. System should populate the value based on the contribution percentage.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Drawing Under Transferred LC DE stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Settlement Details

As part of DE user verifies and enter the basic settlement details available in the Islamic Drawings under Transferred LC process. In case the request is received through online channel user will verify the details populated.

Islamic Drawings Under Transfer LC
DataEnrichment : Application No:- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (9 / 10)

Main Details
Document Details
Shipment Details
Additional Conditions
Discrepancy Details
Maturity Details
Advices
Additional Details
Settlement Details
Summary

Settlement Details
☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLL_AMNDAMTEQ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLL_AMTEQ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	Yes
COLL_AMT_DECR	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLL_AMT_INCR	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
LIEXADV_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	Yes

COLL_AMNDAMTEQ - Party Details

Transfer Type:

Charge Details:

Netting Indicator:

Ordering Customer:

Ordering Institution:

Senders Correspondent:

Receivers Correspondent:

Account With Institution:

Beneficiary Institution:

Ultimate Beneficiary:

Intermediary Institution:

Intermediary Reimbursement Institution:

Payment Details

Sender To Receiver 1:

Sender To Receiver 2:

Sender To Receiver 3:

Sender To Receiver 4:

Sender To Receiver 5:

Sender To Receiver 6:

Remittance Information

Payment Detail 1:

Payment Detail 2:

Payment Detail 3:

Payment Detail 4:

Audit Reject Refer Hold Cancel Save & Close Back Next

The following fields should be displayed during Drawings under Transferred LC:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account number chosen.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer	
Charge Details	Select the charge details for the transactions: • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges	

Field	Description	Sample Values
Netting Indicator	Select the netting indicator for the component: • Yes • No	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Action Buttons

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Drawing Under Transferred LC DE stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

The User can review the summary of details in Islamic DE stage of Drawings under Transferred LC. The summary for Drawings under Transferred LC DE Stage is displayed as tiles. User can drill down from summary Tiles into respective data segments.

Islamic Drawings Under Transfer LC
DataEnrichment :: Application No- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (10 / 10)

Main Details
Document Details
Shipment Details
Additional Conditions
Discrepancy Details
Maturity Details
Advices
Settlement Details
Summary

Main Details		Document Details		Shipment Details		Additional Conditions		Discrepancy Details	
Product Code :		Document 1 :	AIRDOC	Goods Code :		FFT Code 1 :	49H5PLMTREC	Discrepancy Code 1 :	
Currency :	GBP	Document 2 :	BOL	Goods Description :				Discrepancy Code 2 :	
Amount :		Document 3 :	INSDOC						
		Document 4 :	INVDOC						
		Document 5 :	MARDOC						

Maturity Details		Advices		Revolving Details		Limits and Collaterals		Commission,Charges and Taxes	
Tenor Type :	Sight	Advice 1 :		Revolving :	Yes	Limit Currency :		Charge :	
Tenor Basis :		Advice 2 :		Revolving In :	Time	Limit Contribution :		Commission :	
Maturity Date :	2021-05-05			Revolving Frequency :	30	Limit Status :	Not Verified	Tax :	
						Collateral Currency :		Block Status :	Not Initia
						Collateral Contr. :			
						Collateral Status :	Not Verified		

Payment Details		FX Linkage		Finance Preferences		Preview Messages		Settlement Details	
Immediate Liquidation:		Reference Number :		Finance Product :		Language :	ENG	Component :	LIEXADV_LIQD
Immediate Accept :		Contract Amount :		LinkageRefNo :		Preview Message :	-	Account Number :	PK1000325025
Reim Claimed :		Contract Currency :		Finance Tenor Finance :				Currency :	GBP
				Currency :					
				Finance Amount :					
				Finance Maturity Date :					

Parties Details		Compliance details		Accounting Details	
Confirming Bank :	WELLS FARG	KYC :	Not Initia	Event :	
Drawer :	RBS PLC	Sanctions :	Not Initia	AccountNumber :	
Drawee :	MARKS AND	AML :	Not Initia	Branch :	
Presenting Bank :	BANK CORP				

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like Presenting bank, applicant, advising bank etc.
- Beneficiary Confirmation Details - User can view the beneficiary confirmation details.
- Document Details - User can view the document details.
- Shipment Details - User can view the availability and shipment details.
- Additional Conditions - User can view the details of additional conditions.
- Discrepancy Details - System displays if Discrepancies exist or not and the number of discrepancies on the face of the tile. On drill down, user can see all the discrepancies listed under the LC drawing.
- Maturity Details - User can view the maturity details in case of Usance and Multi tenor drawings.
- Advices - User can view the details of the advices.
- Payment Details - User can view the payment details.
- Preview Message - User can view the preview of draft message.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Back	Click Back to move the task to the previous segment	

Multi Level Approval

Approver user can approve the Islamic Drawings under Transferred LC transaction under the LC.

A User can view the summary of drawing details in multilevel approval stage of Islamic Drawings under Transferred LC request.

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving. User can see the summary tiles. The tiles should display a list of important fields with values. The user can drill down from summary Tiles into respective data segments where user can verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)



Note

Rekey is not applicable in case of processing Drawing of Incoming SWIFT MT 750. System will display the approval screens directly as the details have been auto populated from incoming SWIFT message.

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- First Beneficiary
- Second Beneficiary
- Drawing Currency, Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey

Documents
Remarks

First Beneficiary

Second Beneficiary

Drawing Currency
GBP

Drawing Amount
£10,000.00

Refer
Close
Proceed

Approval Summary

Islamic Drawings Under Transfer LC
Approval Task Level 1 : Application No:- PK2IDRT000011413

Main Details	Document Details	Shipment Details	Additional Conditions	Discrepancy Details	Maturity Details
Product Code : Currency : GBP Amount :	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Goods Code : Goods Description :	FFT Code 1 : 49HSPLPMTREC	Discrepancy Code 1 : Discrepancy Code 2 :	Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Payment Details	FX Linkage
Advice 1 : Advice 2 :	Revolving : Yes Revolving In : Time Revolving Frequency : 30	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Immediate Liquidation: Immediate Accept : Reim Claimed :	Reference Number : Contract Amount : Contract Currency :
Loan Preferences	Preview Messages	Settlement Details	Parties Details	Compliance details	Accounting Details
Loan Product : LinkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Language : ENG Preview Message : -	Component : LIEXADV_LIQD Account Number : PK1000325025 Currency : GBP	Drawer : RBS PLC Presenting Bank : BANK CORP Confirming Bank : WELLS FARG Drawee : MARKS AND	KYC : Not Verified Sanctions : Verified AML : Verified	Event : AccountNumber : Branch :
Exception(Approval)					
KYC : EXCEPTION PLEASE VISIT REMARKS FOR MORE DETAILS					
Audit	Reject Hold Refer Cancel Approve				

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like Presenting bank, applicant, advising bank etc.
- Beneficiary Confirmation Details - User can view the beneficiary confirmation details.
- Document Details - User can view the document details.
- Shipment Details - User can view the availability and shipment details.
- Additional Conditions - User can view the details of additional conditions.

- Discrepancy Details - System displays if Discrepancies exist or not and the number of discrepancies on the face of the tile. On drill down, user can see all the discrepancies listed under the LC drawing.
- Maturity Details - User can view the maturity details in case of Usance and Multi tenor drawings.
- Advices - User can view the details of the advices.
- Payment Details - User can view the payment details.
- Preview Message - User can view the preview of draft message.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Approve	On Submit, system should validate for all mandatory field values and the task should move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	
Refer	On click of Refer, user must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

Summary

Islamic Drawings Under Transfer LC
Approval Task Level 1 : Application No:- PK2IDRT000011413

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details	Document Details	Shipment Details	Additional Conditions	Discrepancy Details	Maturity Details
Product Code : Currency : GBP Amount :	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Goods Code : Goods Description :	FFT Code 1 : 49HSPLPMTREC	Discrepancy Code 1 : Discrepancy Code 2 :	Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Payment Details	FX Linkage
Advice 1 : Advice 2 :	Revolving : Yes Revolving In : Time Revolving Frequency : 30	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Immediate Liquidation: Immediate Accept : Reim Claimed :	Reference Number : Contract Amount : Contract Currency :
Loan Preferences	Preview Messages	Settlement Details	Parties Details	Compliance details	Accounting Details
Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Language : ENG Preview Message : -	Component : LIEKADV_LIQD Account Number : PK1000325025 Currency : GBP	Drawer : RBS PLC Presenting Bank : BANK CORP Confirming Bank : WELLS FARG Drawee : MARKS AND	KYC : Not Verified Sanctions : Verified AML : Verified	Event : AccountNumber : Branch :
Exception(Approval)					
KYC : EXCEPTION PLEASE VISIT : - REMARKS FOR MORE : DETAILS :					
Audit	Reject Hold Refer Cancel Approve				

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Confirmation Details - User can view the beneficiary response details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Revolving Details - User can view the revolving details.
- Maturity Details - User can view the maturity details.
- Payment Details - User can view the payment details.
- Preview Details - User can view the preview the draft message.

- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance validation response. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Response - User can view beneficiary response details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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